

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hood et al.

Date:

April 28, 2004

Serial No.:

10/768,339

**Group Art Unit:** 

Filed:

January 30, 2004

Examiner:

For:

Soybean Variety XB57M04

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# FILING OF AN INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

The attached list of citations on PTO Form 1449 is being submitted under the provisions of 37 C.F.R. §1.56 and §1.97(b) in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention. A copy of each cited reference is enclosed, except for those references which are already part of the filewrapper. This statement is being filed within the time period specified in 37 C.F.R. §1.97(b). No fee is required.

Respectfully submitted,

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- 1. Filing Of An Information Disclosure Statement Under 37 C.F.R.§1.97(b) (1 copy)
- 2. Form PTO-1449 Information Disclosure Statement By Applicant (1 copy)
- 3. 5 References (1 copy of each)
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	INFORMATION DISCLOSURE STATEMENT	APPLICANT		
•	BY APPLICANT	Hood et al.		
		FILING DATE	GROUP	
	(Use several sheets if necessary)			
'		January 30, 2004		

### **U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	6,147,283	11/14/2000	John D. Hicks			1/29/1999
	A2	6,605,757	8/12/2003	John D. Hicks			12/22/2000
	A3	6,369,301	4/9/2002	John D. Hicks			2/9/2000

### **FOREIGN PATENT DOCUMENTS**

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	Document Number	Date	Country	Class	Subclass	Yes	No
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OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

A4	Plant Variety Protection Certificate No. 200200102 for Soybean 95B42, issued May 2, 2002
A5	Plant Variety Protection Certificate No. 200000045 for Soybean 95B53, issued April 24, 2001
A6	Plant Variety Protection Certificate No. 200100072 for Soybean 95B96, issued February 5, 2002
A7	Plant Variety Protection Certificate No. 200000113 for Soybean 96B21, issued May 8, 2001

### **U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No.	U.S. Patent Application Serial Number	Filing Date of Cited Application MM-DD-YYYY	Name of A	Applicant of Cited Application
	A8	10/271,208	10/15/2002	John D. Hicks	(DO NOT PUBLISH)
EXAMINER				DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.